

# DYNAMIC PROGRAMMING

## 1) Longest path in a DAG

**Subproblem**  $L(v) = \text{length of longest path ending in } v$

## 2) Longest Increasing Subsequence

**Subproblem:**  $L(i) = \text{length of longest increasing subsequence ending in } a_i$

## 3) Edit Distance

**Subproblem:**  $E(x[1:i], y[1:j]) = \text{edit distance between prefixes}$

## 4) Knapsack (capacity $W$ , items with weights $w_1, \dots, w_n$ & values $v_1, \dots, v_n$ )

### 4a) Knapsack with Replacement

**Subproblem:**

$K(C) = \text{max total value with capacity } C \quad C = 0, 1, \dots, W$

### 4b) Knapsack w/o replacement

**Subproblem**

$K(C, k) = \text{Optimum with total weight} \leq C$   
while only using items in  $\{1, \dots, k\}$   $k = 0, 1, 2, \dots$

## 5) Single Source Shortest Path

**Subproblems**

$\text{dist}(v, k) = \text{length of shortest path } s \rightsquigarrow v \text{ using } \leq k \text{ edges}$

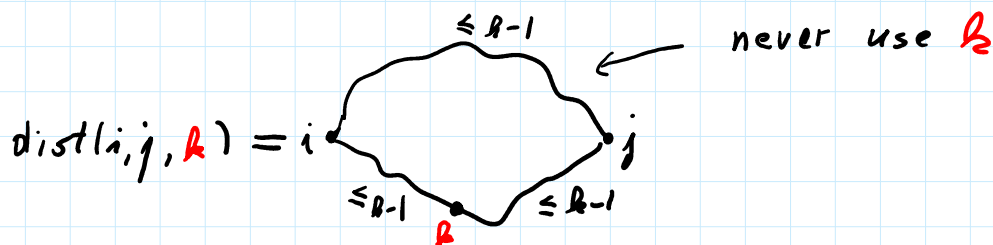
Gives modified version of Bellman Ford  $O(n|E|)$  runtime

## 6) All Pairs shortest path (Floyd Warshall Algorithm)

### Subproblem

$$V = \{1, 2, \dots, n\}$$

$\text{dist}(i, j; k)$  uses only vertices in  $\{1, 2, \dots, k\}$   
as intermediate vertices



$$= \min \{ \text{dist}(i, j, k-1), \text{dist}(i, k, k-1) + \text{dist}(k, j, k-1) \}$$

$O(n^3)$  Runtime

## 7) Travelling Salesman Problem (TSP)

Given:  $n$  cities, distances  $d_{ij}$   $i \neq j$

Goal: Find path of minimal length,

starting at 1, ending at 1, visiting every city once

### Subproblem:

For  $S = \{1, \dots, k\}$  let

$C(S)$  = Length of shortest path in  $S$ , starting at 1, ending at 1, and visiting every  $i \in S$



$C(S, j)$  = Length of shortest path from 1 to  $j \in S$  visiting every  $i \in S$  once



Note that  $C(S) = \min_{1 \neq j \in S} C(S, j) + d_{j1}$  if  $|S| \geq 2$

### Recursion

$$S' = \{1, 2, \dots, k-1\} \rightarrow S = \{1, \dots, k\}, j \in S'$$

Case 1:  $j = k$

$$C(S, k) = \text{[Diagram: A circle labeled } S' \text{ containing a wavy line, with a point } k \text{ outside it. A larger circle labeled } S \text{ encloses the first one.]} = \min_{1 \neq i \in S'} [C(S', i) + d_{ik}]$$

Case 2:  $j \neq k$

$$C(S, j) = \text{[Diagram: A circle labeled } S' \text{ containing a wavy line, with points } k \text{ and } j \text{ outside it. A larger circle labeled } S \text{ encloses the first one.]} \quad S = S' \cup \{k\}$$

What if we know all  $|S| < k$  with  $1 \in S$

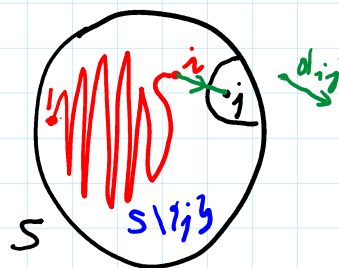
$$C(S, j) \text{ for } |S| = k \quad j \in S, j \neq 1$$

$$\text{Then } |S \setminus \{j\}| < k$$

Recurrence:

$$\forall 1 \neq j \in S$$

$$C(S, j) = \min_{\substack{i \in S \setminus \{j\} \\ i \neq 1}} C(S \setminus \{j\}, i) + d_{ij} \quad (R)$$



Initialization:

$$C(\{1, j\}, j) = d_{1j} \quad \text{For all } j \neq 1$$

Note:  $C(S, 1)$  is not defined

For convenience, we set

$$C(\{1\}, 1) = 0 \text{ and } C(S, 1) = \infty \text{ if } |S| > 1,$$

and for  $|S| \geq 2, j \neq 1$  modify (R) to

$$C(S, j) = \min_{i \in S \setminus \{j\}} C(S \setminus \{j\}, i) + d_{ij} \quad (*)$$

This turns out to be equivalent.

Indeed, if  $|S|=2$ ,  $1 \in S$ ,  $j \neq 1$  then  $S \setminus \{j\} = \{1\}$  and (\*) gives

$$C(S, j) = C(\{1\}, 1) + d_{1j}.$$

On the other hand, if  $|S| \geq 3$ , (\*) gives

$$C(S, j) = \min_{1 \in S \setminus \{j\}} \underbrace{C(S \setminus \{j\}, i)}_{=\infty \text{ if } i=1} + d_{ij} = \min_{\substack{1 \in S \setminus \{j\} \\ i \neq 1}} C(S \setminus \{j\}, i) + d_{ij}$$

which is the required recurrence relation (R)

Algorithm:

$$C(\{1\}, 1) = 0$$

For  $k = 2, \dots, n$

For all  $S \subseteq \{1, \dots, n\}$ ,  $1 \in S$ ,  $|S| = k$

$$C(S, 1) = \infty$$

For all  $j \in S \setminus \{1\}$

$$C(S, j) = \min_{i \in S, i \neq j} C(S \setminus \{j\}, i) + d_{ij}$$

Output  $\min_{j \in \{1, \dots, n\}} C(\{1, \dots, n\}, j) + d_{j1}$

$\binom{n-1}{k-1}$  cases

$k-1$

$k-2$

Run Time:

$$O\left(\sum_k \binom{n}{k} k \cdot k\right) = O(n^2 2^n)$$

### 8) Independent Sets

Def: Given  $G=(V, E)$ , an independent set is a set  $I \subseteq V$  s.t. no pair of vertices  $\{x, y\} \subseteq I$  is an edge in  $E$

Example 1:  $V$  set of radio stations

$\{i, j\} \in E \Leftrightarrow i, j$  are close enough to lead to interference

$I$  = set of stations that can use the same frequency band

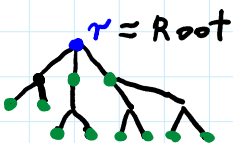
Task: Given  $G=(V, E)$  find

$$\text{Ind}(G) = \max_{I \subseteq V} \{|I| : I \text{ is an independent set}\}$$

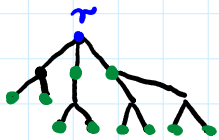
Hard in General!

### Maximal Independent Set For Trees

Define Subproblem:

Optimal Solution: 

Case 1:  $r \notin I$



$$|I| = 2 + 3 + 5$$



Independent Sets in  
Subtrees under children of  $r$

Subproblem:

$T_v$  = subtree under  $v$

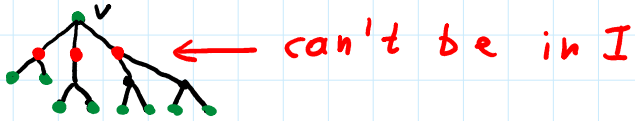
$I(v)$  = size of largest independent set  $I$  in  $T_v$

Recursion

Case 1:  $v \notin I$ ,  $I$  optimal

$$\Rightarrow |I| = \sum_{w \in C(v)} I(w) \quad C(v) = \text{children of } v$$

Case 1:  $v \in I$ ,  $I$  optimal



$$\Rightarrow |I| = 1 + \sum_{w \in G(v)} I(w) \quad G(v) = \text{grandchildren of } v$$

General Case:

$$I(v) = \max \left\{ \sum_{w \in C(v)} I(w), 1 + \sum_{w \in G(v)} I(w) \right\}$$

Base Case:  $v$  is a leaf

$$I(v) = 1$$

Calculation Order: Leaves to root

Algorithm

Input: Tree on  $V = \{1, \dots, n\}$

$\pi(v) = \text{parent of } v$ ,  $\pi(r) = r$

Topologically sort  $V$  (s.t.h.  $v < \pi(v)$   $\forall v \neq r$ )

For  $v \in V$  if  $\pi(v) \neq v$  Append  $v$  to  $C(\pi(v))$

For  $v \in V$ :  $G(v) = \bigcup_{u \in C(v)} C(u)$

For  $v = 1, \dots, n$

$$I(v) = \max \left\{ \sum_{w \in C(v)} I(w), 1 + \sum_{w \in G(v)} I(w) \right\}$$

Run time

$$O(n)$$

$$O(n)$$

$$O\left(\sum_v |G(v)|\right)$$

$$O\left(\sum_v \{ |C(v)| + 1 + |G(v)| \}\right)$$

Claim: The run time is  $O(n)$

$$\text{Pf: } \sum_v |C(v)| = \sum_v \# \text{ of incoming edges to } v = |E| = n-1$$

$$\sum_v |G(v)| = \sum_{v, u} \mathbb{1}_{u \text{ is grandchild of } v}$$

$$\begin{aligned}
\sum_v |G(v)| &= \sum_{v,u} \mathbb{1}_{u \text{ is grandchild of } v} \\
&= \sum_{v,u} \mathbb{1}_{v \text{ is grandparent of } u} \\
&= \sum_u \underbrace{\sum_v \mathbb{1}_{v \text{ is grandparent of } u}}_{\leq 1} \\
&\quad \text{since each } u \text{ has at most one grandparent } v \\
&\leq \sum_u 1 = n
\end{aligned}$$

## 9) Chain Matrix Multiplication

Multiplying  $A \times B$

$$(A \times B)_{ij} = \sum_{k=1}^n A_{ik} B_{kj} \rightarrow \text{Multipl.}$$

Total time for  $A \times B$   $n \cdot n \cdot m$

Q: How to calculate

$$\begin{matrix}
A & \times & B & \times & C & \times & D \\
50 \times 20 & & 20 \times 1 & & 1 \times 10 & & 10 \times 100
\end{matrix}$$

$$\begin{matrix}
(A \times (B \times C)) \times D \\
50 \times 20 & \quad 20 \times 1 & 1 \times 10 & & 10 \times 100 \\
& \quad \quad \quad 20 \times 10 & & \\
& \quad \quad \quad 50 \times 10 & &
\end{matrix}$$

Cost:

$$\begin{aligned}
&20 \cdot 1 \cdot 10 + 50 \cdot 20 \cdot 10 \\
&+ 50 \cdot 10 \cdot 100 \\
&= 200 + 10,000 + 50,000
\end{aligned}$$

$$\boxed{60,200}$$

$$\begin{matrix}
A \times ((B \times C) \times D) \\
50 \times 20 & \quad 20 \times 1 & 1 \times 10 & & 10 \times 100 \\
& \quad \quad \quad 20 \times 10 & & \\
& \quad \quad \quad 20 \times 100 & &
\end{matrix}$$

$$\begin{aligned}
&20 \cdot 1 \cdot 10 + 20 \cdot 10 \cdot 100 + \\
&50 \cdot 20 \cdot 100 \\
&= 200 + 20,000 + 100,000
\end{aligned}$$

$$\boxed{120,000}$$

$$\begin{matrix}
(A \times B) \times (C \times D) \\
50 \times 20 & 20 \times 1 & 1 \times 10 & 10 \times 100 \\
& \quad \quad \quad 50 \times 1 & \quad \quad \quad 1 \times 100
\end{matrix}$$

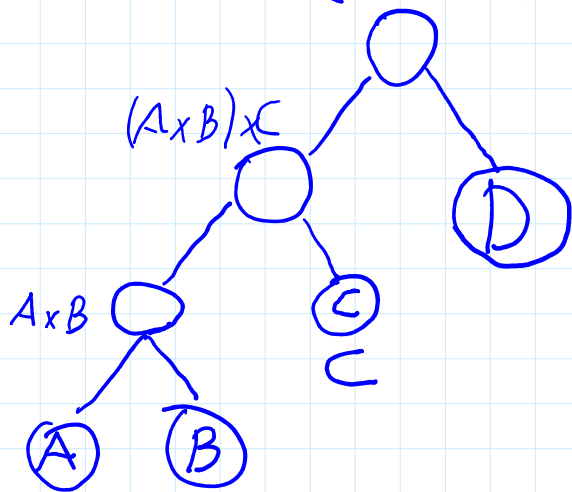
$$\begin{aligned}
&50 \cdot 20 \cdot 1 + 1 \cdot 10 \cdot 100 + \\
&50 \cdot 100 =
\end{aligned}$$

$$\boxed{7000}$$

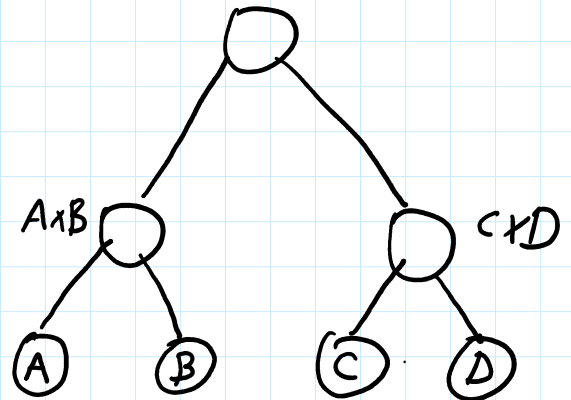
In general, which parenthezation is fastest?

Binary tree representation:

$$((A \times B) \times C) \times D$$



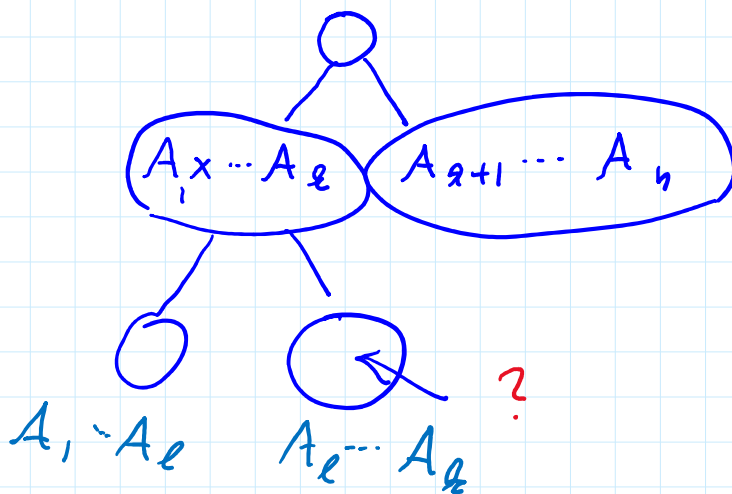
$$(A \times B) \times (C \times D)$$



General Case

$$A_1 \times A_2 \times \dots \times A_n$$

$$A_1 \text{ is } m_0 \times m_1, A_2 \text{ is } m_1 \times m_2 \dots$$



Subproblem:

$$A_i \times A_{i+1} \times \dots \times A_j$$

$C(i, j)$  run time for optimal way to  
put parentheses



## Recurrence :

$$\underbrace{A_i \times \dots \times A_k}_{\text{left part}} \times \underbrace{A_{k+1} \times \dots \times A_j}_{\text{right part}}$$

$$C(i, k) + C(k+1, j) + m_{i-1} m_k m_j$$

IF optimal

$$C(i, j) = \min_{i \leq k \leq j} \left( C(i, k) + C(k+1, j) + m_{i-1} m_k m_j \right)$$

## Order of Calculations

$$s = |i - j| = 0, 1, 2, \dots$$

## Algorithm

For  $i = 1, \dots, n$      $C(i, i) = 0$

For  $s = 1, \dots, n-1$

For  $i = 1, \dots, n-s$

$$j = i + s$$

$$C(i, j) = \min_{i \leq k \leq j} \left( C(i, k) + C(k+1, j) + m_{i-1} m_k m_j \right)$$

Return  $C(1, n)$

## Running Time:

$$O\left(\sum_{i < j} |i - j|\right) = O(n^3)$$