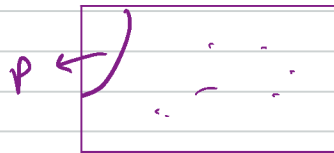


# Lecture 22

## CS 170

Sanjam Garg.

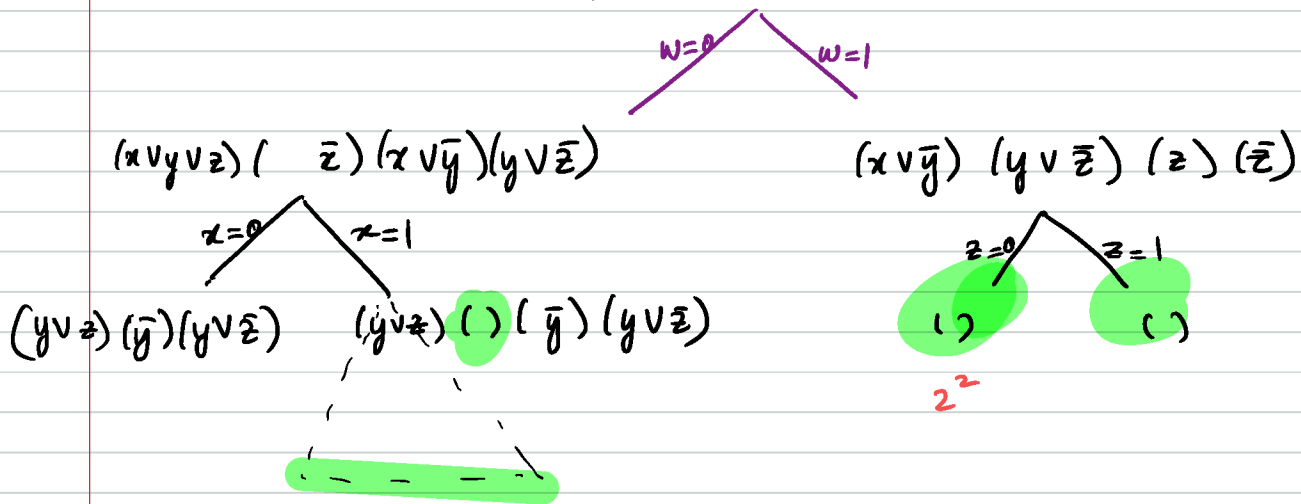
NP-complete problems still need a solution.



- 1) "Intelligent" exponential search.
  - Running time could be exponential
  - Practical instances
    - ↳ run efficiently.
- 2) Approximation Algorithms. → polytime
  - ↳ relationship with the optimal solution.
- 3) Heuristic → no guarantees on the runtime or the optimality of solution.

# Back teaching

$$p = (w \vee x \vee y \vee z) (w \vee \bar{z}) (x \vee \bar{y}) (y \vee \bar{z}) (z \vee \bar{w}) (\bar{w} \vee \bar{z})$$



① which subproblem to consider?

- ↳ expand
- ↳ choose

② each subproblem has a test

- ↳ success
- ↳ fail
- ↳ maybe.

Start with some problem  $P_0$

Let  $S = \{P_0\}$ , the set of active subproblems

Repeat while  $S$  is not empty:

choose subproblem  $P \in S$ , and remove it from  $S$

expand into smaller subproblems  $P_1, \dots, P_k$

For each  $P_i$ :

if  $\text{test}(P_i)$  succeeds: halt and announce this solution

$\text{test}(P_i)$  fails: discard  $P_i$

Otherwise: add  $P_i$  to  $S$

Announce that there is no solution

## Branch and Bound: Generalizing backtracking to search problems

Start with some problem  $P_0$

Let  $S = \{P_0\}$ , the set of active subproblems

bestsofar =  $\infty$

Repeat while  $S$  is not empty:

choose subproblem (partial solution)  $P \in S$ , and remove it from  $S$

expand into smaller subproblems  $P_1, \dots, P_k$

For each  $P_i$ :

if  $P_i$  is a complete solution: update bestsofar

else if  $\text{lowerbound}(P_i) < \text{bestsofar}$ : add  $P_i$  to  $S$

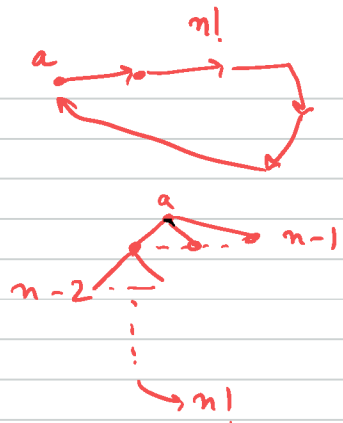
Return bestsofar

# Travelling Salesman - branch and bound.

Instance: distances  $d_{ij}$

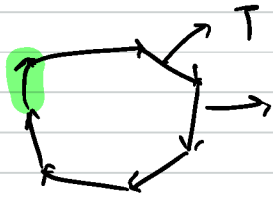
Solution: a permutation  $\tau: \{1, \dots, n\} \rightarrow \{1, \dots, n\}$  s.t.

$W_{TSP} = d_{\tau(1)\tau(2)} + d_{\tau(2)\tau(3)} + \dots + d_{\tau(n)\tau(1)}$  is minimized.



Lemma:  $W_{TSP} \geq W_{MST}$

Proof:



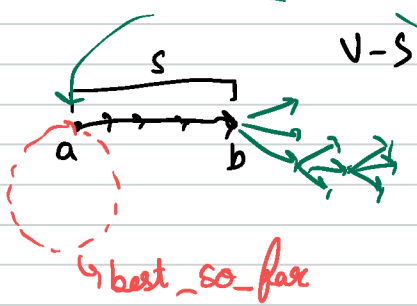
(a) T is a spanning tree

(b)  $W_{TSP} \geq W_T$

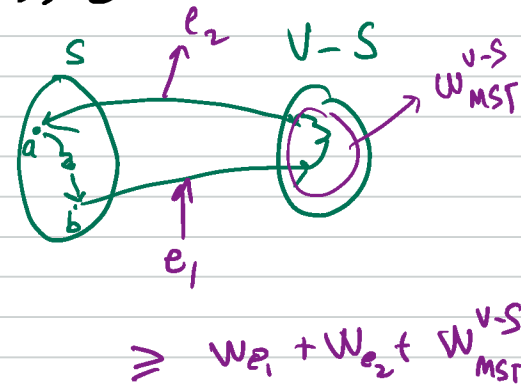
(c)  $W_T \geq W_{MST}$

$W_{TSP} \geq W_{MST}$

w.l.o.g  $\rightarrow$  start with a  
 $[a, S, b]$

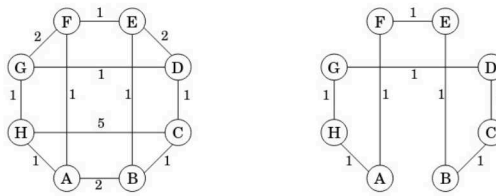


Starting point.  
 $[a, \{a\}, a]$

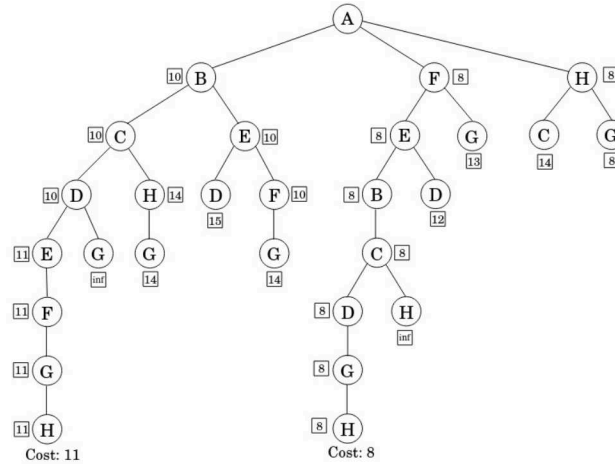


**Figure 9.2** (a) A graph and its optimal traveling salesman tour. (b) The branch-and-bound search tree, explored left to right. Boxed numbers indicate lower bounds on cost.

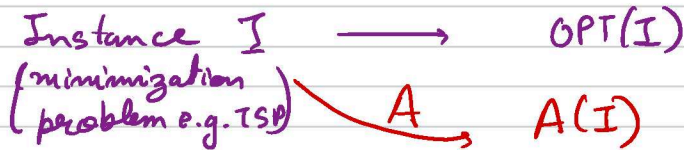
(a)



(b)



## Approximation Algorithms for an optimization problem



$\alpha = \max_I \frac{A(I)}{\text{OPT}(I)}$

approx ratio

$\alpha = \max_I \frac{\text{OPT}(I)}{A(I)}$

for maximization

$\alpha > 1$

# Set Cover

Input: A set of elements  $B$ ; sets  $S_1, S_2, \dots, S_m \subseteq B$

Output: Smallest selection of  $S_i$  whose union is  $B$

Example:  $B = \{1, \dots, 6\}$   
 $S_1 = \{1, 2, 3\}$   $S_2 = \{2, 3, 4, 5\}$   $S_3 = \{4, 5, 6\}$

## Greedy Algorithm

Repeat until all elements of  $B$  are covered

Pick the set  $S_i$  with the largest number of uncovered elements

$S_2, S_1, S_3$

Claim: Say  $|B| = n$  and  $\text{OPT}(I) = k$ . Then greedy algorithm uses at most

$k \ln n$  sets

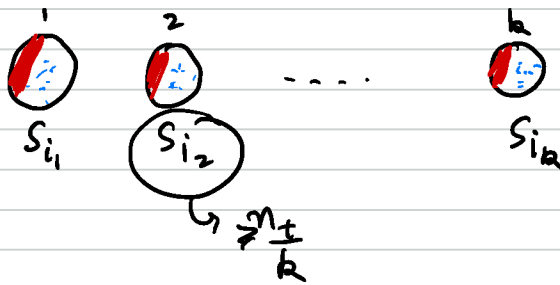
Proof:

$n_0 \xrightarrow{+1} n_1 \xrightarrow{+1} n_2 \dots$   
 $n_0 = n$

$t \rightarrow t+1$

$n_t$  # of uncovered elements in  $B$  after  $t$  iterations of our greedy algo.

after  $t^{\text{th}}$  iteration



Claim: at least one of these sets has  $\geq \frac{n_t}{k}$  uncovered elements.

Proof:  $< \frac{n_t}{k} \times k = n_t$

$$n_{t+1} \leq n_t - \frac{n_t}{k} \leq n_t \left(1 - \frac{1}{k}\right)$$

$$n_t \leq n \left(1 - \frac{1}{k}\right)^t < \underbrace{n e^{-\frac{t}{k}}}_{\substack{1 - \frac{1}{k} < e^{-\frac{1}{k}} \\ \downarrow \\ 1}}$$

$t = k \ln n$

$$n_t < 1$$

## Vertex Cover

Input: undirected graph  $G=(V, E)$

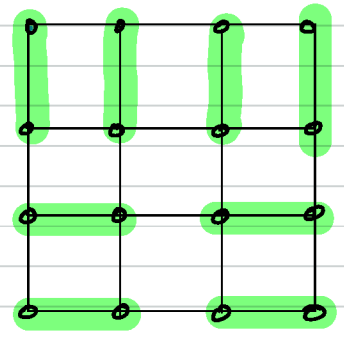
Output: subset  $S \subseteq V$  such that  $|S|$  is minimized and  $S$  touches every edge

$$\rightarrow B = \{e_1, \dots, e_m\}$$

$$S_u = \{e \mid \text{one of the vertices in } e \text{ is } u \ \& \ e \in E\}$$

$$\downarrow \ln n$$

- Find a maximal matching  $M \subseteq E$   
 - Return  $S = \{ \text{all endpoints of edges in } M \}$



(i)  $2 \times \text{Size of any VC} \geq 2|M|$

↳ you have pick atleast one vertex per edge.

↳ (Size of OPT VC)  $\geq |S|$

(ii)  $|S| = 2|M| \leq 2 \times \text{Size of any VC}$

(iii)  $S$  is a VC.

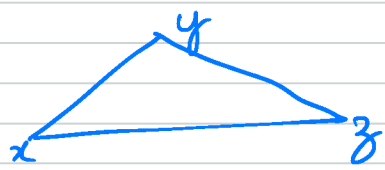
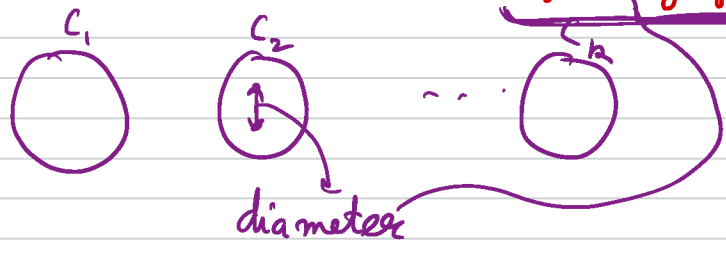
↳ if  $S$  is not a VC then  $\exists$  an edge  $e = (u,v)$  s.t. neither  $u \in S$  nor  $v \in S$

↳  $M \cup \{e\} \rightarrow$  larger matching than  $M$ .

## Clustering

Input: Points  $X = \{x_1, \dots, x_n\}$  with distance metric  $d(\cdot, \cdot)$ ; integer  $k$

Output:  $k$  clusters  $C_1, \dots, C_k$  s.t.  $\max_j \max_{x,y \in C_j} d(x,y)$  is minimized



- ①  $\forall x,y \quad d(x,y) \geq 0$
- ②  $d(x,y) = 0$  iff  $x = y$
- ③  $d(x,y) = d(y,x)$
- ④  $\forall x,y,z \quad d(x,y) + d(y,z) \geq d(x,z)$