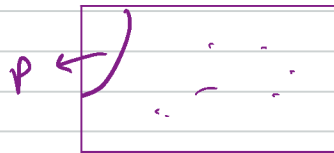


# Lecture 23

## CS 170

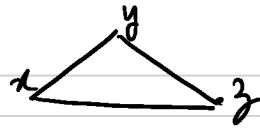
Sanjam Garg.

NP-complete problems still need a solution.



- 1) "Intelligent" exponential search.
  - Running time could be exponential
  - Practical instances
    - ↳ run efficiently.
- 2) Approximation Algorithms. → polytime
  - ↳ relationship with the optimal solution.
- 3) Heuristic → no guarantees on the runtime or the optimality of solution.

# Clustering

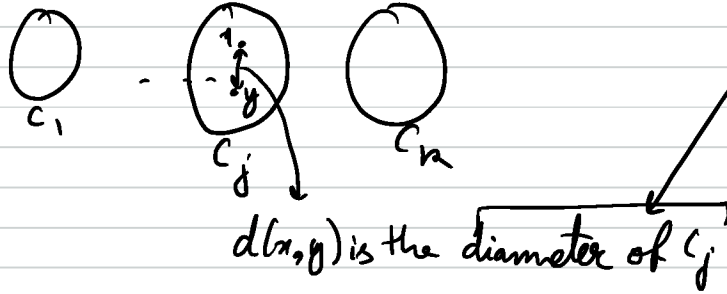


- ①  $\forall x, y, d(x, y) \geq 0$
- ②  $d(x, y) = 0$  iff  $x = y$
- ③  $d(x, y) = d(y, x)$
- ④ **TI**:  $d(x, y) + d(y, z) \geq d(x, z)$

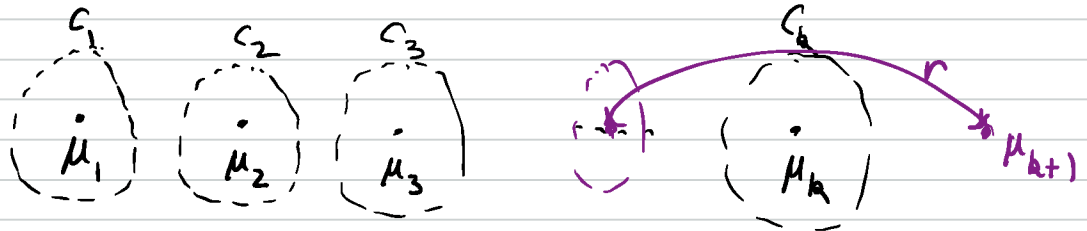
Input: Points  $X = \{x_1, \dots, x_n\}$  with distance metric  $d(\cdot, \cdot)$ ; integer  $k$

Output:  $k$  clusters  $C_1, \dots, C_k$  s.t.  $\max_j \max_{x, y \in C_j} d(x, y)$  is minimized

$k < n$



Pick any point  $\mu_1 \in X$  as the first cluster center  
for  $i = 2 \dots k$   
Let  $\mu_i \in X$  be the point farthest from  $\mu_1, \dots, \mu_{i-1}$   
Create  $k$  clusters:  $C_i = \{ \text{all } x \in X \text{ closest to } \mu_i \}$



1)  $\forall i, \forall x \in C_i$  we have  $d(x, \mu_i) \leq r$

2)  $\forall i, j \in \{1, \dots, k+1\}$   $d(\mu_i, \mu_j) \geq r$

Lemma:  $\forall i \forall x, y \in C_i \quad d(x, y) \leq 2r$

Proof:  $d_A = d(x, y) \leq d(x, \mu_i) + d(\mu_i, y) \quad (1)$

$$\leq r + r$$

$$= \frac{r + r}{2r} \quad (1)$$



$$X = \{x_1, \dots, x_n\}$$

$$\mu_1, \dots, \mu_{k+1}$$

$\exists t \in \{1, \dots, k\}$  s.t.  $\mu_i, \mu_j \in C_t \quad i, j \in \{1, \dots, k+1\}$   
 $i \neq j$

$$d_{C_t} \geq d(\mu_i, \mu_j) \geq r$$

$$d_{OPT} \geq r \quad (2)$$

From (1) & (2)  $\rightarrow d_A \leq 2d_{OPT}$

## RC $\longrightarrow$ Travelling Salesman Problem (TSP)

Instance:  $G = (V, E)$

Instance: distances  $d_{ij}$

Solution: Cycle  $S$  visiting each vertex exactly once.

Solution: a permutation  $\tau: \{1, \dots, n\} \rightarrow \{1, \dots, n\}$  s.t.

$$d_{\tau(1)\tau(2)} + d_{\tau(2)\tau(3)} + \dots + d_{\tau(n)\tau(1)} \leq n$$

$$G \longrightarrow \begin{cases} d_{ij} = 1 & \text{if } (i, j) \in E \\ d_{ij} = 1 + C & \text{if } (i, j) \notin E \text{ for some } C > 1 \end{cases}$$

if  $G$  had a RC  $\Rightarrow G'$  has a TSP solution of cost  $n$

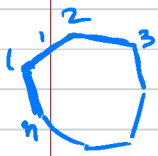
if  $G$  does not have a RC  $\Rightarrow G'$  has no TSP solution of cost  $< n + C$

RC  $\longrightarrow$   $\alpha$ -TSP

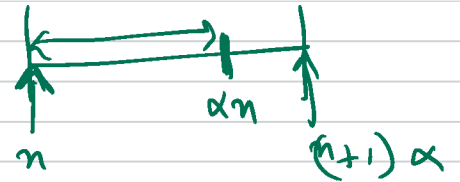
$$d_{\tau(1)\tau(2)} + \dots + d_{\tau(n)\tau(1)} \leq \alpha n$$

$$C = \alpha n$$

if  $G$  has a RC  $\implies G'$  has a TSP solution of cost  $n$   
 & if  $G$  does not have a RC  $\implies G'$  has no TSP solution of cost  $n + n\alpha = n(1+\alpha)$



$$\underbrace{1 + 1 + \dots + 1}_{n \text{ times}} = n$$



## 2- Travelling Salesman Problem (TSP)

Instance: distances  $d_{ij}$

Solution: a permutation  $\tau: \{1 \dots n\} \rightarrow \{1 \dots n\}$  s.t.

$$d_{\tau(1)\tau(2)} + d_{\tau(2)\tau(3)} + \dots + d_{\tau(n)\tau(1)} \leq 2d_{\text{OPT}}$$

lemma:  $d_{\text{MST}} \leq d_{\text{TSP}}^{\text{OPT}}$

(a) MST can be a good starting point.

(b)  $d \leq 2d_{\text{MST}} \leq 2d_{\text{TSP}}^{\text{OPT}}$

(c)  $d_{\text{TSP}}^A \leq d \leq 2d_{\text{TSP}}^{\text{OPT}}$

$\forall i, j, k$   
 $d_{ij} + d_{jk} \geq d_{ik}$



# Knapsack (without rep.)

2, 12, 317, 456

$\rightarrow O(nW)$

Input:  $n$  items with weights  $w_1, \dots, w_n$  & values  $v_1, \dots, v_n$

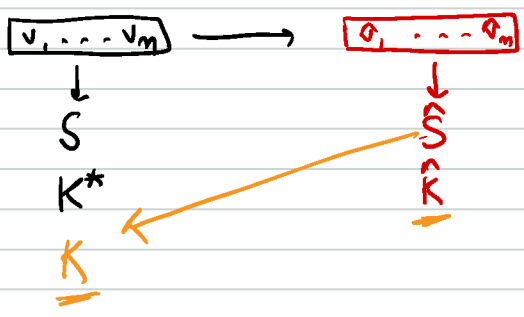
Goal: Most valuable combination with total weight  $\leq W$

$\hookrightarrow O(nW)$

$0 < \epsilon < 1$  we will give an approx. algorithm s.t.  $K \geq (1-\epsilon) K^*$   
 $\hookrightarrow \text{poly}(n, \frac{1}{\epsilon})$   $\downarrow$   
optimal

Discard any items with weight  $> W$   
 let  $v_{max} = \max_i v_i$   
 Rescale  $\hat{v}_i = \lfloor v_i \cdot \frac{n}{\epsilon v_{max}} \rfloor$   
 Run DP algorithm with values  $\{\hat{v}_i\}$   
 Output the resulting choice of items.

$\rightarrow n \times \frac{n}{\epsilon} \times n$   
 $\downarrow$   
 $= O(\frac{n^3}{\epsilon})$



$$\begin{aligned}
 1) \quad \sum_{i \in S} \hat{v}_i &= \sum_{i \in S} \left[ v_i \frac{n}{\epsilon V_{\max}} \right] \geq \sum_{i \in S} \left( \frac{v_i n}{\epsilon V_{\max}} - 1 \right) \\
 &\geq \frac{\left( \sum_{i \in S} v_i \right) n}{\epsilon V_{\max}} - |S| \\
 &\geq \frac{K^* n}{\epsilon V_{\max}} - n \\
 &= \left( \frac{K^*}{\epsilon V_{\max}} - 1 \right) n
 \end{aligned}$$

$$\begin{aligned}
 2) \quad \sum_{i \in S} v_i &\geq \sum_{i \in S} \hat{v}_i \frac{\epsilon V_{\max}}{n} \\
 &\geq \left( \sum_{i \in S} \hat{v}_i \right) \frac{\epsilon V_{\max}}{n} \geq \left( \frac{K^*}{\epsilon V_{\max}} - 1 \right) n \times \frac{\epsilon V_{\max}}{n} \\
 &= K^* - \epsilon V_{\max} \\
 &\geq K^* (1 - \epsilon)
 \end{aligned}$$